

**ATTENTION ATTENTION ATTENTION**

**Method of Refund:**

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**Patent/TM/App/Serial #** 10 / 605,217

**Program Area** Publishing

**Date Processed** 8/22/2005

**ATTENTION ATTENTION ATTENTION**



PATENT

SEP 12 11 12:21

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ping Hsu, Tzu-Ching Tsai  
Appl. No. : 10/605,217 Filing Date: 09/16/2003  
Examiner : PERKINS, PAMELA E Art Unit : 2822  
Docket No.: NTCP0021USA

Title: METHOD FOR FABRICATING A DEEP TRENCH CAPACITOR

To : Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

Subject : Request for refund of publication fee

Dear Sir ,

The issue fee and publication fee of the above identified application was paid on 09/30/2004 (~~Attachment 1~~). And the publication fee \$300 was deducted from the deposit account no. 50-3105 on 10/01/2004 (Attachment 1). But the above-identified application was issued as a patent on 11/09/2004 without publication process (Attachment 2).

02 FC:1504

300.00 CR

The applicant hereby requests to refund the publication fee \$300 to the Deposit Account No. 50-3105 pursuant to CFR 1.211(e) and MPEP 1126. Your quick response will be greatly appreciated.

Sincerely yours,

Winston Hsu

Date: JUL 08 2005

Winston Hsu, Patent Agent No. 41,526

P.O. Box 506, Merrifield, Virginia 22116, U.S.A

Voice Mail: 302-729-1562

Facsimile : 806-498-6673

e-mail : winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)



**United States  
Patent and  
Trademark Office**

Attachment 1.

**Deposit Account Statement**

**Requested Statement Month:** October 2004  
**Deposit Account Number:** 503105  
**Name:** NORTH AMERICA INTELLECTUAL PROPERTY CORPORATION  
**Attention:** WINSTON HSU  
**Address:** 5F NO.389 FU-HO RD  
**City:** TAIPEI HSIEN  
**State:**  
**Zip:**  
**Country:** TAIWAN, PROVINCE OF CHINA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/01	60	60481476	IFTP0005USA	8007	\$20.00	\$74,873.00
10/01	68	10711738	FTCP0043USA	2001	\$395.00	\$74,478.00
10/01	69	10711738	FTCP0043USA	8021	\$40.00	\$74,438.00
10/01	70	10711739	MTKP0105USA	1001	\$790.00	\$73,648.00
10/01	71	10711739	MTKP0105USA	8021	\$40.00	\$73,608.00
10/01	72	10711740	SERP0001USA	2001	\$395.00	\$73,213.00
10/01	73	10711740	SERP0001USA	8021	\$40.00	\$73,173.00
10/01	190	09764361	API078	1501	\$1,330.00	\$71,843.00
10/01	191	09764361	API078	1504	\$300.00	\$71,543.00
10/01	192	10604896	ADTP0089USA	1501	\$1,330.00	\$70,213.00
10/01	193	10604896	ADTP0089USA	1504	\$300.00	\$69,913.00
10/01	194	10604741	NAUP0534USA	1501	\$1,330.00	\$68,583.00
10/01	194	10605217	NTCP0021USA	1501	\$1,330.00	\$67,253.00
10/01	195	10604741	NAUP0534USA	1504	\$300.00	\$66,953.00
✓ 10/01	195	10605217	NTCP0021USA	1504	\$300.00	\$66,653.00
10/01	196	10604458	SISP0003USA	1501	\$1,330.00	\$65,323.00
10/01	196	10065586	IACP0021USA	1501	\$1,330.00	\$63,993.00
10/01	197	10604458	SISP0003USA	1504	\$300.00	\$63,693.00
10/01	197	10065586	IACP0021USA	1504	\$300.00	\$63,393.00
10/01	198	10248283	EMEP0045USA	2501	\$665.00	\$62,728.00
10/01	198	10249671	CMOP0022USA	1501	\$1,330.00	\$61,398.00
10/01	199	10248283	EMEP0045USA	1504	\$300.00	\$61,098.00
10/01	199	10249671	CMOP0022USA	1504	\$300.00	\$60,798.00
10/01	200	10605095	MTKP0081USA	1501	\$1,330.00	\$59,468.00
10/01	200	10248495	EMEP0019USA	2501	\$665.00	\$58,803.00
10/01	201	10605095	MTKP0081USA	1504	\$300.00	\$58,503.00
10/01	201	10248495	EMEP0019USA	1504	\$300.00	\$58,203.00
10/01	202	09682317	ASTP0019USA	1501	\$1,330.00	\$56,873.00
10/01	202	10248282	EMEP0037USA	2501	\$665.00	\$56,208.00
10/01	203	09682317	ASTP0019USA	1504	\$300.00	\$55,908.00
10/01	203	10248282	EMEP0037USA	1504	\$300.00	\$55,608.00
10/01	204	10604359	ACMP0126USA	1501	\$1,330.00	\$54,278.00
10/01	204	10249889	TOPP0019USA	1501	\$1,330.00	\$52,948.00



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## PATENT APPLICATION INFORMATION RETRIEVAL



Search results as of: 7-7-2005::3:32:35 E.T.

Search results for application number: 10/605,217			
Application Number:	10/605,217	Customer Number:	27765
Filing or 371(c) Date:	09-16-2003	Status:	Patented Case
Application Type:	Utility	Status Date:	10-21-2004
Examiner Name:	PERKINS, PAMELA E	Location:	ELECTRONIC
Group Art Unit:	2822	Location Date:	-
Confirmation Number:	2216	Earliest Publication No:	-
Attorney Docket Number:	NTCP0021USA	Earliest Publication Date:	-
Class/ Sub-Class:	438/386	Patent Number:	6,815,307
First Named inventor:	Ping Hsu, Taipei Hsien, (TW)	Issue Date of Patent:	11-09-2004
Title Of Invention:	METHOD FOR FABRICATING A DEEP TRENCH CAPACITOR		

## Search Options

Assignments
Display References
Image File Wrapper
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Maintenance Fees - View payment windows
Maintenance Statement - View 04 year payment window
Maintenance Statement - View 08 year payment window
Maintenance Statement - View 12 year payment window
Patent Term Adjustment History
Published Documents

Maintenance Fees Available: Mon-Fri 5:30 AM to Midnight, Sat-Sun-Hol. 7:30 AM to 8:00 PM E.T.

File History	
Date	Contents Description
11-09-2004	Recordation of Patent Grant Mailed
10-21-2004	Issue Notification Mailed
11-09-2004	Patent Issue Date Used In PTA Calculation
10-15-2004	Application Is Considered Ready for Issue
10-14-2004	Receipt Into Pubs
09-30-2004	Issue Fee Payment Verified
09-30-2004	Issue Fee Payment Received
09-10-2004	Receipt Into Pubs

08-11-2004	Recelpt Into Pubs
08-10-2004	Workflow - File Sent to Contractor
07-29-2004	Mail Notice of Allowance
07-27-2004	Issue Revislon Completed
07-27-2004	Notice of Allowance Data Verification Completed
07-24-2004	Notice of Allowablilty
05-18-2004	IFW TSS Processing by Tech Center Complete
05-18-2004	Date Forwarded to Examiner
05-10-2004	Response after Non-Final Action
05-10-2004	Workflow incoming amendment IFW
02-20-2004	Mail Non-Final Rejection
02-05-2004	Non-Final Rejection
01-12-2004	Case Docketed to Examiner in GAU
01-12-2004	Case Docketed to Examiner in GAU
12-05-2003	Application Return from OIPE
12-05-2003	Application Is Now Complete
12-05-2003	Pre-Exam Office Action Withdrawn
12-05-2003	Application Return TO OIPE
12-05-2003	Application Is Now Complete
12-04-2003	Application Dispatched from OIPE
12-05-2003	Application Is Now Complete
11-30-2003	Cleared by OIPE CSR
09-16-2003	IFW Scan & PACR Auto Security Review
09-16-2003	Initial Exam Team nn



Dep: 2ef  
#807

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PTO/SB/21 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/605,217	
	Filing Date	09/16/2003	
	First Named Inventor	Ping Hsu	
	Art Unit	2822	
	Examiner Name	PERKINS, PAMELA E	
Total Number of Pages In This Submission	6	Attorney Docket Number	NTCP0021USA

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. A copy of the deposit account statement
<input type="checkbox"/> Information Disclosure Statement	<input checked="" type="checkbox"/> Request for Refund	2. A copy of the application data and transaction history
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
<b>Remarks</b> Request for a refund of publication fee		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	North America Intellectual Property Corp.		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	JUL 08 2005	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			Date
Typed or printed name			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.